



Central Depository Services (India) Limited

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COMMUNIQUÉ TO DEPOSITORY PARTICIPANTS

CDSL/OPS/DP/POLCY/2023/113

February 17, 2023

SEBI CIR - MAINTENANCE OF A WEBSITE BY STOCK BROKERS AND DEPOSITORY PARTICIPANTS

DPs are advised to refer SEBI Circular no. SEBI/HO/MIRSD/MIRSD-PoD-1/P/CIR/2023/30 dated February 15, 2023, regarding **Maintenance of a website by stock brokers and depository participants [refer Annexure]**.

DPs are advised to take note of the same and ensure compliance.

Queries regarding this communiqué may be addressed to: **CDSL – Helpdesk** Emails may be sent to: helpdesk@cdslindia.com and telephone numbers (022) 2305-8624, 2305-8639, 2305-8642, 2305-8663, 2305-8640, 2300-2041, 2300-2033 or 08069144800.

sd/-

Nilesh Shah
Assistant Vice President - Operations



CIRCULAR

SEBI/HO/MIRSD/MIRSD-PoD-1/P/CIR/2023/30

February 15, 2023

**To,
All Recognized Stock Exchanges
All Recognized Depositories
Stock Brokers through recognized Stock Exchanges
Depository Participants through recognized Depositories**

Dear Sir/Madam,

Subject: Maintenance of a website by stock brokers and depository participants

1. SEBI, through various circulars, has mandated certain information to be published by stock brokers (SB) /depository participants (DP) on their respective websites.
2. A designated website brings in transparency and helps the investors to keep themselves well informed about the various activities of the SB/DP. In view of the same, considering the advancement in technology and need to provide better services to the investors, all SBs and DPs are hereby, mandated to maintain a designated website.
3. Such website shall mandatorily display the following information, in addition to all such information, which have been mandated by SEBI/stock exchanges/depositories from time to time.
 - i. Basic details of the SB/DP such as registration number, registered address of Head Office and branches, if any.
 - ii. Names and contact details such as email ids etc. of all key managerial personnel (KMPs) including compliance officer.
 - iii. Step-by-step procedures for opening an account, filing a complaint on a designated email id, and finding out the status of the complaint, etc.
 - iv. Details of Authorized Persons.
4. The URL to the website of a SB/ DP shall be reported to the stock exchanges/ depositories within a week of this circular coming into effect. Any modification in the URL shall be reported to stock exchanges/depositories within 3 days of such changes.



5. The provisions of this circular shall come into effect from August 16, 2023.
6. The stock exchanges and depositories are directed to:
 - a. bring the provisions of this circular to the notice of stock brokers and depository participants, as the case may be, and also disseminate the same on their websites;
 - b. make amendments to the relevant bye-laws, rules and regulations for the implementation of the above provisions;
 - c. communicate to SEBI, the status of the implementation of the provisions of this circular in their monthly development report and
 - d. monitor compliance of this circular and submit a compliance report to SEBI in this regard by August 31, 2023.
7. This circular is issued in exercise of powers conferred under Section 11(1) of the Securities and Exchange Board of India Act, 1992, to protect the interests of investors in securities and to promote the development of, and to regulate the securities markets.

Yours faithfully,

Aradhana Verma
General Manager
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